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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
With Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

0403-PENA

First Named Inventor

MARTIN R. PENNA

COMPLETE IF KNOWN

Application Number

Filing Date

03-09-04

Art Unit

Examiner Name

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD + SYSTEM FOR COMPUTER-AIDED TELECOMMUNICATION  
AND FINANCIAL TRANSACTIONS

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
NONE			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## DECLARATION — Utility or Design Patent Application

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Name DOROTHY S. MORSE, ESQ.							
Address 515 PARK DRIVE, NW							
City BRADENTON				State FLORIDA		ZIP 34209-1847	
Country UNITED STATES		Telephone 941-747-4313		Fax 941-748-4005			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any)) MARTIN RANCEL				Family Name or Surname PENA			
Inventor's Signature <i>Martin R. Pena</i>						Date 03-09-04	
Residence: City CHARLOTTE		State NC		Country US		Citizenship US	
Mailing Address 11639 PAINTED TREE ROAD							
City CHARLOTTE		State NC		ZIP 28226		Country US	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
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City		State		ZIP		Country	
<input type="checkbox"/> Additional verifiers or legal representatives are being named on the supplemental sheets (PTO/SB/92A or OLR attached hereto).							

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**POWER OF ATTORNEY  
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 CORRESPONDENCE ADDRESS  
 INDICATION FORM**

Application Number	
Filing Date	MARCH 9, 2004
First Named Inventor	MARTIN E. PENN
Title	METHODS AND APPARATUS FOR PROVIDING TELECOMMUNICATIONS
Art Unit	2544
Examiner Name	
Attorney Serial Number	0403 - PENN

I hereby appoint

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) listed below:

Name	Registration Number
DOROTHY S. MORSE, ESQ.	38,977

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.71(b) is required. (Form PTO/SB/06)

**SIGNATURE of Applicant or Assignee of Record**

Name	MARTIN E. PENN
Signature	<i>Martin E. Penn</i>
Date	03-09-04
Telephone	

NOTE: Signature of all the inventors or assignees of record of the entire interest or their representative(s) are required. Send no more than one signature if required, see notice.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the person who is to be used by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 172 and 37 CFR 1.18. This collection is estimated to take 3 minutes to complete, including gathering, reviewing, and submitting the completed application form to the USPTO. There may be a charge depending upon the value of the application. If you request to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEE OR COMPLETED FORMS TO THIS ADDRESS. Send fee: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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